

jc860 U.S. PTO  
03/08/01

S&H Form: PTO/SB/05 (9/99)

**CONTINUING  
 (§111(a)) APPLICATION  
 TRANSMITTAL OF  
 INTERNATIONAL  
 APPLICATION**

(Filing of Continuation Application Under 35 U.S.C. §111(a))

Attorney Docket No.

1405.1036

First Named Inventor: Seigo KOTANI, et al.

Title:

INFORMATION MANAGEMENT METHOD AND INFORMATION  
MANAGEMENT APPARATUS

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent  
application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract ..... [ Total Pages: 36 ]
3. ☒ Drawing(s) (35 USC 113) ..... [ Total Sheets: 11 ]
4. ☒ Oath or Declaration ..... [ Total Pages: 4 ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d)) (see Box 18)
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
  - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:  
\_\_\_\_\_
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

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**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.
  - a. ☐ Certified Copy of Priority Document(s) filed in prior application No. \_\_\_\_/\_\_\_\_.
  - b. ☒ Certified Copy of Priority Document(s) enclosed.
  - c. ☐ Certified Copy of Priority Document(s) to follow.
13. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
14. ☐ Preliminary Amendment
  - a. ☐ enclosed herewith.
  - b. ☐ incorporated herein (see Box 18).
15. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
16. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, status still proper and desired.
17. ☐ Other:

**18. CONTINUING APPLICATION**, check appropriate box and supply the requisite information below:

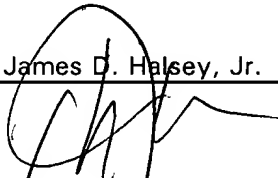
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: PCT/JP99/03421. This continuing application is filed under 35 U.S.C. §111(a), based upon International Application PCT/JP99/03421, filed June 24, 1999 it is further noted that priority is based upon Japanese Patent Application No. 10-265210, filed September 18, 1998.

**19. NEW CORRESPONDENCE ADDRESS \* CUSTOMER NO. 21,171**

21171

PATENT TRADEMARK OFFICE

**20. SIGNATURE OF ATTORNEY OR AGENT**

NAME	James D. Halsey, Jr.	REGISTRATION NO.	22,729
SIGNATURE		DATE	March 7, 2001

# NEW APPLICATION FEE TRANSMITTAL

Attorney Docket No. 405.1036

Application Number To be assigned

Filing Date March 8, 2001

AMOUNT ENCLOSED \$858.00

First Named Inventor Seigo KOTANI, et al.

## FEE CALCULATION (fees effective 12/29/99)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	26 - 20 =	6	X \$ 18.00 =	\$ 108.00
	INDEPENDENT CLAIMS	2 - 3 =	0	X \$ 80.00 =	
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$260.00 =	
	<b>BASIC FILING FEE</b>				710.00
	Total of above Calculations =				\$ 818.00
	Surcharge for late filing fee, Statement or Power of Attorney (\$130.00)				+
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28).				-
	<b>TOTAL FILING FEE =</b>				\$ 818.00
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))				+
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h)(1))				\$40.00
	<b>TOTAL FEES DUE =</b>				\$ 858.00

## METHOD OF PAYMENT

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No., below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time.

## GENERAL AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

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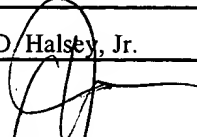
19-3935

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- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS &amp; HALSEY LLP

Typed Name	James D. Halsey, Jr.	Reg. No.	22,729
Signature		Date	March 8, 2001